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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		<b>Application Number</b>	09/866,060
		<b>Filing Dat</b>	May 25, 2001
		<b>First Named Inventor</b>	James W. Whittaker
		<b>Group Art Unit</b>	1633
		<b>Examiner Name</b>	
<b>Total Number of Pages in this Submission</b>	6*	<b>Attorney Docket Number</b>	HME/8134.003

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit(s)/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Part(s)/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Drawings (one sheet) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition To Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) 3 patent and 6 non-patent references
Remarks: * = plus additional enclosures		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
<b>Firm or Individual Name</b>	Howard M. Eisenberg, Esq. 1600 ODS Tower, 601 SW Second Ave Portland, OR 97204-3157	
<b>Signature</b>		
<b>Date</b>	August 14, 2001	
<b>CERTIFICATE OF MAILING</b>		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date.		
<b>Type or print name</b>	Howard Eisenberg	
<b>Signature</b>		<b>Date</b> August 14, 2001



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/866,060  
Filing Date May 25, 2001  
First Named Inventor James W. Whittaker  
Examiner Name  
Group/ Art Unit 1633  
Attorney Docket No. HME/8134.003

TOTAL AMOUNT OF PAYMENT \$0

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:
- Deposit Account Number 50-1773  
Deposit Account Name Howard Eisenberg, Esq.
- ☒ Charge any additional fee required under 37 CFR 1.16 & 1.17
2. ☐ Payment Enclosed
- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$0

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** = 0	x 0 = 0	0
Indep. Claims	-3** = 0	x 0 = 0	0
Multiple Dependent			0

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205		65 Surcharge - late filing fee or oath	
127	50	227		25 Surcharge-late provisional filing fee or cover sheet	
139	130	139		130 Non-English specification	
147	2,520	147		2,520 For filing a request for reexamination	
112	920*	112		920* Requesting publication of SIR prior to Examiner action	
113	1840*	113		1840* Requesting publication of SIR after Examiner action	
115	110	215		55 Extension for reply within first month	
116	390	216		185 Extension for reply within second month	
117	890	217		445 Extension for reply within third month	
118	1,390	218		695 Extension for reply within fourth month	
128	1,890	228		945 Extension for reply within fifth month	
119	310	219		155 Notice of Appeal	
120	310	220		155 Filing a brief in support of an appeal	
121	270	221		135 Request for oral hearing	
138	1,510	138		1,510 Petition to institute a public use proceeding	
140	110	240		55 Petition to revive - unavoidable	
141	1,240	241		620 Petition to revive - unintentional	
142	1,240	242		620 Utility issue fee (or reissue)	
143	440	243		220 Design issue fee	
144	600	244		300 Plant issue fee	
122	130	122		130 Petitions to the Commissioner	
123	50	123		50 Petitions related to provisional applications	
126	240	126		240 Submission of Information Disclosure Statement	
581	40	581		40 Recording each patent assignment per property (times number of properties)	
146	710	246		355 Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	710	249		355 For each additional invention to be examined (37 C.F.R. 1.129(b))	
169	900	169		900 Request for Expedited Examination of a Design Application	

Other (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0

## SUBMITTED BY

Complete (if applicable)

Name (print type) Howard M. Eisenberg Registration No. 36,789 Telephone (503) 227-5631  
Signature Date August 14, 2001

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Atty Doc. No. HME/8134.003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION EXAMINING OPERATIONS

In re the Application of :  
James W. Whittaker and Mei M. Whittaker : Group Art Unit: 1633  
Serial No. 09/866,060 : Examiner:  
Filed: May 25, 2001 : Date: August 14, 2001  
For a Patent for :  
EXPRESSION AND PURIFICATION OF :  
GALACTOSE OXIDASE :

INFORMATION DISCLOSURE STATEMENT  
IN ACCORDANCE WITH 37 CFR §1.98

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants submit herewith copies of patents and publications of which they are aware and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(1), this Information Disclosure Statement is being submitted within three months of the filing date of the application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the patents and publications enclosed herewith is set forth on the attached 3 pages of Information Disclosure Statement by Applicant.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

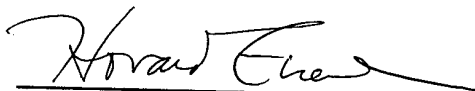


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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, on August 14, 2001.

Dated: AUG 14, 2001



Howard M. Eisenberg